# **MEDICAL POLICY**



Medical Policy Title	Epidural Steroid Injections
Policy Number	7.01.115
Current Effective Date	March 20, 2025
Next Review Date	March 2026

Our medical policies are based on the assessment of evidence based, peer-reviewed literature, and professional guidelines. Eligibility for reimbursement is based upon the benefits set forth in the member's subscriber contract. (Link to <u>Product Disclaimer</u>)

#### **POLICY STATEMENT(S)**

#### Selective Nerve Root Block

- I. An initial level diagnostic selective nerve root block (SNRB), is considered **medically appropriate** when **ALL** the following criteria are met:
  - A. Performed at a single level/single side (single nerve root) during the same session;
  - B. Performed with anesthetic injectate only; and
  - C. Performed when attempting to establish the diagnosis of radicular pain (including radiculitis) or radiculopathy, when the diagnosis remains uncertain after standard evaluation consisting of neurologic examination and either radiological studies and/or electrodiagnostic studies, in **ANY** of the following clinical situations:
    - 1. When the physical signs and symptoms differ from that found on imaging studies;
    - 2. When there is clinical evidence of multi-level nerve root pathology;
    - 3. When the clinical presentation is suggestive, but not typical of, both nerve root and peripheral nerve or joint disease involvement;
    - 4. When the clinical findings are consistent with radiculopathy in a level-specific referral pattern of one (1) or more involved named spinal root(s), but the imaging studies do not corroborate the findings (positive straight leg raise test);
    - 5. When the individual has had previous spinal surgery; or
    - 6. For the purposes of surgical planning.
- II. A diagnostic SNRB at a spinal level other than the initial level is considered **medically appropriate** when **ALL** the following criteria are met:
  - A. The response to the prior diagnostic SNRB was less than 80% relief from the injectate utilized;
  - B. There is evidence of multilevel pathology; and
  - C. It has been at least seven (7) days since the prior diagnostic block.
- III. A diagnostic SNRB is considered **not medically necessary** for any indication other than above (e.g., post-herpatic neuralgia).

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- IV. A therapeutic SNRB (i.e., a repeat SNRB at the same level) being performed for **ANY** indication is considered **investigational**.
- V. A diagnostic SNRB performed using injectates other than anesthetic, corticosteroid, and/or contrast agent (e.g., biologics [platelet rich plasma, stem cells, amniotic fluid]), administered alone or in combination, is considered **investigational**.
- VI. A SNRB performed with ultrasound guidance is considered investigational.

#### Epidural Steroid Injections (Interlaminar, Caudal, or Transforaminal)

- VII. Initial epidural steroid injections (ESI) are considered **medically appropriate** for **ANY** of the following conditions when **ALL** the associated criteria are met:
  - A. Treatment of <u>presumed radiculopathy</u>, when **ALL** the following criteria are met:
    - 1. Failure to respond to at least four (4) weeks of conservative treatment that includes **ALL** of the following:
      - a. exercise;
      - b. manual therapy;
      - c. patient education;
      - d. psychosocial support; and
      - e. medications to include nonsteroidal anti-inflammatory drugs [NSAIDS] or analgesics);
    - 2. Presence of pain, dysesthesia(s), or paresthesia(s) reported by the individual in a levelspecific referral pattern of an involved named spinal root(s) causing significant functional limitations, (i.e., diminished quality of life and impaired age-appropriate activities of daily living), and **EITHER** of the following:
      - a. Documentation of any of the following, concordant with nerve root compression of the involved named spinal root(s) demonstrated on a detailed neurologic examination within the prior three (3) months:
        - i. Loss of strength of specific named muscle(s) or myotomal distribution(s),
        - ii. Altered sensation to light touch, pressure, pin prick, or temperature in the sensory distribution,
        - iii. Diminished, absent, or asymmetric reflex(es); or
      - b. Documentation of **EITHER** of the following studies performed within the prior 24 months:
        - i. A concordant radiologist's interpretation of an advanced diagnostic imaging study (MRI or CT) of the spine demonstrating compression of the involved named spinal nerve root(s), **or**
        - ii. Electrodiagnostic studies (EMG/NCVs) diagnostic of nerve root compression of

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the involved named spinal nerve root(s); and

- 3. Advanced diagnostic imaging within 24 months is required for cervical/thoracic interlaminar and transforaminal epidural steroid injections.
- B. Treatment of <u>presumed radiculitis or radicular pain</u>, when **ALL** the following criteria are met:
  - 1. Failure to respond to at least four (4) weeks of conservative treatment that includes **ALL** of the following:
    - a. exercise;
    - b. manual therapy;
    - c. patient education;
    - d. psychosocial support;
    - e. medications to include nonsteroidal anti-inflammatory drugs [NSAIDS] or analgesics); **and**
  - 2. Advanced diagnostic imaging within 24 months is required for cervical/thoracic interlaminar and transforaminal ESI.
- C. As an initial trial treatment for evidence of neurogenic claudication (e.g., leg pain, paresthesia, heaviness, or cramping brought on when walking and relieved when leaning forward or sitting down) when **ALL** the following criteria are met:
  - 1. Diagnostic evaluation has ruled out other potential causes of pain;
  - 2. MRI or CT scan, with or without myelography, within the past 24 months demonstrates moderate-to-severe spinal stenosis at the level to be treated;
  - 3. Significant functional limitations have resulted in diminished quality of life and impaired, age-appropriate activities of daily living; **and**
  - 4. Failure to respond to at least four (4) weeks of conservative treatment that includes **ALL** of the following:
    - a. exercise;
    - b. manual therapy;
    - c. patient education,
    - d. psychosocial support; and
    - e. medications to include nonsteroidal anti-inflammatory drugs [NSAIDS] or analgesics).
- VIII. A transforaminal epidural steroid injection (TFESI)\* performed with an intra-articular facet joint injection with synovial cyst aspiration is considered **medically appropriate**, when **ALL** the following criteria are met:
  - A. Failure to respond to at least four (4) weeks of conservative treatment that includes **ALL** of

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the following:

- 1. exercise;
- 2. manual therapy;
- 3. patient education;
- 4. psychosocial support; and
- 5. medications to include nonsteroidal anti-inflammatory drugs [NSAIDS] or analgesics);
- B. Advanced diagnostic imaging studies (i.e., magnetic resonance imaging [MRI], computed tomography [CT] scan, CT myelogram) within the past 24 months confirm compression or displacement of the corresponding nerve root by a facet joint synovial cyst; **and**
- C. There is a clinical correlation (based on history and physical examination) with the individual's signs and symptoms of radicular pain or radiculopathy.

\*Note: Refer to Policy Statements for the exception that an TFESI can be performed on the same day as an intra-articular facet joint injection with synovial cyst aspiration.

- IX. Repeat epidural steroid injections\* are considered **medically appropriate** when **ALL** of the following criteria are met:
  - A. There has been 50% or greater relief of radicular pain for two (2) or more weeks' duration and **ONE** (1) of the following criteria are met:
    - 1. Increase in the level of function, or
    - 2. Reduction in the use of pain medication and/or additional medical services, such as physical therapy/chiropractic care;
  - B. Advanced diagnostic imaging within 24 months is required for cervical/thoracic interlaminar and transforaminal ESI; **and**
  - C. It has been at least 14 days since the prior epidural steroid injections.

\*Note: Policy Statements for ESI session limits.

- X. Epidural steroid injections are considered **not medically necessary** for **ANY** of the following:
  - A. ESI is performed without imaging guidance (i.e., CT, fluoroscopy), except for an emergent situation or when fluoroscopic/CT guidance or the injection of contrast is contraindicated (e.g., pregnancy);
  - B. TFESI is performed at more than two (2) contiguous foraminal levels (unilateral or bilateral) during the same session;
  - C. Interlaminar epidural steroid injection (ILESI) or caudal epidural steroid injection (CESI) is performed at more than a one (1) spinal level during the same session;
  - D. ESI is performed on the same date of service as other invasive modality or procedures, with the exception of an intra-articular facet joint injection being performed together with a

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transforaminal epidural steroid injection (TFESI) with synovial cyst aspiration on the same date of service;

- E. ESI is performed in isolation, without the individual participating in a comprehensive pain management program that includes **all** of the following: physical therapy, patient education, psychosocial support, and oral medications;
- F. There are more than three (3) sessions of epidural steroid injections per episode of pain, per region, in six (6) months (refer to Policy Guidelines X);
- G. There are more than four (4) sessions of epidural steroid injections per region in a rolling 12 months (refer to Policy Guidelines X);
- H. Axial spinal pain (i.e., absence of radiculopathy, myelopathy, myeloradiculopathy);
- I. Caudal epidural steroid injection (CESI) is performed for symptomatic levels above L4-L5; or
- J. Post-herpetic neuralgia.
- XI. The following procedures are considered **investigational**:
  - A. ESI with ultrasound guidance for any indication;
  - B. ESI involving injectates other than anesthetic, corticosteroid, and/or contrast agent (e.g., biologics [platelet rich plasma, stem cells, amniotic fluid]) for the treatment of radicular pain or radiculopathy.

## **RELATED POLICIES**

#### Corporate Medical Policy

2.01.24 Growth Factors for Wound Healing and Other Conditions, which includes platelet rich plasma.

7.01.42 Radiofrequency Facet and Sacroiliac Joint Ablation/Denervation

7.01.116 Facet Joint Injections/ Medial Branch Blocks

11.01.03 Experimental or Investigational Services

## **POLICY GUIDELINE(S)**

- I. This policy only applies to selective nerve root blocks (SNRBs) and epidural steroid injections (ESIs) for the conditions listed within the policy statements above. This policy does not apply to epidural injections administered for obstetrical or surgical epidural anesthesia, for peri-operative pain management, or in the clinical context of an implantable intrathecal drug pump.
- II. This policy only applies to the injection of anesthetic, corticosteroid, and/or contrast agent, and not to other injectates, including, but not limited to, Spinraza, chemotherapy, neurolytic substances, antispasmodics, antibiotics, antivirals, or biologics (e.g., platelet-rich plasma, stem cells, amniotic fluid, etc.).
- III. An ESI or selective nerve root block should be performed with the use of fluoroscopic or CT guidance and the injection of a contrast, except for an emergent situation or when

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fluoroscopic/CT guidance or the injection of contrast is contraindicated (e.g., pregnancy).\_An indwelling catheter to administer a continuous infusion/intermittent bolus should be used only in a hospital setting. It is inappropriate to code the use of a catheter for single-episode injection(s) that is/are commonly performed in an outpatient setting as an in-dwelling catheter for continuous infusion/intermittent bolus.

- IV. When medical necessity criteria are met, up to a total of three (3) ESIs per episode of pain, per region, may be performed in six (6) months, not to exceed four (4) ESIs per region (cervical, thoracic, lumbar) in a rolling 12 months.
- V. <u>Repeat ESI Limits</u>: There is insufficient scientific evidence to support the scheduling of a "seriesof-three" ESIs in either a diagnostic or therapeutic approach. The medical necessity of subsequent injections should be evaluated individually, based on the response of the individual to the previous injection about clinically relevant, sustained reductions in pain, decreased need for medication, and improvement in the individual's functional abilities.
- VI. When performing therapeutic TFESIs, no more than two (2) contiguous nerve root levels (unilateral or bilateral) should be injected during the same session/procedure.
- VII. When performing an interlaminar epidural steroid injection (ILESI) or caudal epidural steroid injection (CESI), only one (1) spinal level is allowed during the same session. Note: A CESI only involves symptomatic levels below L4-L5.
- VIII. An epidural steroid injection (transforaminal, interlaminar, or caudal) or a selective nerve root block should be performed with the use of fluoroscopic or CT guidance the injection of a contrast, with the exception of an emergent situation when fluoroscopic/CT guidance or the injection of contrast is contraindicated (e.g., pregnancy).
- IX. When performing a diagnostic SNRB, only an injection at a single level/side during the same session/procedure should be performed

#### DESCRIPTION

#### Definitions for Epidural Steroid Injections:

Caudal epidural steroid injection (CESI) is an injection of contrast, (absent allergy to contrast), followed by the introduction of corticosteroids and possibly a local anesthetic into the epidural space of the spine by inserting a needle through the sacral hiatus under fluoroscopic guidance into the epidural space at the sacral canal.

Interlaminar epidural steroid injection (ILESI) is an injection of contrast, (absent allergy to contrast), followed by the introduction of a corticosteroid and possibly a local anesthetic into the epidural space of the spine either through a paramedian or midline interlaminar approach under fluoroscopic guidance.

Radicular pain is pain that radiates along the course of a spinal nerve root, typically resulting from compression, inflammation, and/or injury to the nerve root.

Radiculitis is radicular pain without objective neurological findings on physical examination.

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Radiculopathy is the presence of pain, dysesthesia(s), or paresthesia(s) reported by the individual in a level-specific referral pattern of an involved named spinal root(s) causing significant functional limitations, (i.e., diminished quality of life and impaired age-appropriate activities of daily living), and either of the following:

- Documentation of one or more of the following, concordant with nerve root compression of the involved named spinal root(s) demonstrating on a detailed neurological examination within the prior three (3) months:
- Loss of strength of specific named muscle(s) or myotomal distribution(s)
- Altered sensation to light touch, pressure, pin prick, or temperature in the sensory distribution
- Diminished, absent, or asymmetric reflex(es)
- Documentation of either of the following studies performed within the prior 24 months:
- A concordant radiologist's interpretation of an advanced diagnostic imaging study (MRI or CT) of the spine demonstrating compression of the involved named spinal nerve root(s)
- Electrodiagnostic studies (EMG/NCVs) diagnostic of nerve root compression of the involved named spinal nerve root(s).

Selective nerve root block (SNRB) is a diagnostic injection of contrast (absent allergy to contrast) followed by the introduction of local anesthetic to anesthetize a single specific spinal nerve root. This procedure is performed by inserting a needle into the neuroforamen under fluoroscopic or computed tomography (CT) guidance. This procedure is often used to assist with surgical planning.

- Note: SNRBs are erroneously referred to as transforaminal epidural steroid injection (TFESI), although technically SNRBs involve the introduction of anesthetic only and are used for diagnostic purposes.
- Note: Selective nerve root blocks (SNRBs) performed for the purpose of treating pain (i.e., repeat SNRB at the same level) may be termed therapeutic selective nerve root blocks. There is insufficient evidence to support the clinical utility of therapeutic selective nerve root bocks (SNRBs).

Session is a time period, which includes all procedures (i.e., medial branch block (MBB), intraarticular (IA) facet joint injection, and radiofrequency ablation (RFA)) performed on a single date of service.

Spinal stenosis is the narrowing of the spinal canal usually due to spinal degeneration that occurs with aging. It may also be the result of spinal disc herniation, osteoarthritis, or a tumor.

 Neurogenic Claudication: the clinical syndrome commonly associated with lumbar spinal stenosis. Symptoms of neurogenic claudication are described as leg pain, paresthesia, heaviness, or cramping brought on when walking and relieved when leaning forward or sitting down.

Transforaminal epidural steroid injection (TFESI) is a therapeutic injection of contrast (absent allergy to contrast) performed at a single or multiple spinal levels, followed by the introduction of a

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corticosteroid and possibly a local anesthetic by inserting a needle into the neuroforamen under fluoroscopic or computed tomography (CT) guidance.

#### SUPPORTIVE LITERATURE

Epidural spinal injection (ESI) is one of several therapies available for people who fail conservative treatment, and is a common modality used for radicular pain and lumbar referred pain with several potentially painful conditions (e.g., neurogenic claudication) caused by degenerative or isthmic spinal stenosis.

Buenaventura and colleagues (2009) conducted a systematic review to evaluate the effectiveness of lumbar TFESIs in managing chronic radicular pain. Of the four randomized, controlled trials evaluating TFESIs, all showed positive results for short-term relief. Two studies were positive for long-term relief; the results for long-term relief were not available for the third study, and the fourth study had negative long-term relief results.

Abdi and colleagues (2007) conducted a systematic review of published trials and abstracts of scientific meetings published between January 1966 and October 2006, to determine the efficacy and safety of ESIs. They identified 11 randomized trials of lumbar interlaminar ESI. Of these studies, eight had favorable results for short-term (less than six weeks) relief, and one was positive for long-term (six weeks) relief. The level of evidence for interlaminar ESIs was considered strong for short-term pain relief and limited for long-term pain relief. There were seven randomized trials of lumbar TFESI, five of which had favorable results for short-term pain relief and moderate for long-term pain relief. Of the eight randomized trials of caudal ESIs, five had favorable results for short-term pain relief, and four had favorable results for long-term pain relief. The level of evidence for caudal epidural injections was considered strong for short-term relief.

Novak and colleagues (2008) conducted a systematic review to evaluate the evidence in support of guidelines on frequency and timing of epidural steroid injections, to help determine what sort of response should occur to repeat an injection. The review included 11 randomized, controlled trials, one prospective controlled trial, and two prospective cohort studies. The authors concluded that there is limited evidence to suggest guidelines for frequency and timing of epidural steroid injections or to help define an appropriate partial response that would trigger a repeat injection. Research suggests that repeat injections may improve outcomes, but conclusions cannot be made due to methodological limitations of the available evidence. The authors further concluded that there does not appear to be any evidence to support the common practice of a series of injections.

The results of a systematic review by A.T. Parr and colleagues (2012), evaluating the effect of caudal epidural injections with or without steroids in managing various types of chronic low back and lower extremity pain, produced good evidence for short- and long-term relief of chronic pain secondary to disc herniation or radiculitis with local anesthetic and steroids, and fair relief with local anesthetic only. Further, this systematic review also provided only fair evidence for caudal epidural injections in managing chronic axial or discogenic pain, spinal stenosis, and post-surgery syndrome.

#### **PROFESSIONAL GUIDELINE(S)**

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For radicular pain, the North American Spine Society (NASS, 2020) finds that there is sufficient literature to suggest that a trial of ESIs for radicular pain caused by conditions other than disc herniation is appropriate prior to considering surgical intervention. NASS cites multiple randomized-controlled trials (RCTs) that demonstrate lumbar epidural steroid injections (LESIs) are effective in the treatment of lumbar radiculitis caused by disc herniation. Similarly, citing that several conditions may cause cervical radicular pain, with literature regarding interlaminar (IL) ESIs demonstrating durable improvements in pain and disability for 12 and 24 months for a variety of cervical pathologic conditions. The literature on cervical transforaminal (TF) ESIs is limited to observational studies including reduction in surgical intervention has been demonstrated, and the biochemical pathology involved is likely similar to lumbar radicular etiologies.

For lumbar referred pain, NASS (2020) finds that the literature suggests LESIs are effective in reducing pain in this patient population. It is noted that this treatment seems to be less effective in this group than in patients with herniated discs. In addition, data show that LESI is equivalent to epidural local anesthetic likely due to the suppression of neurogenic inflammation by the local anesthetic. Based on these data, it is felt that a trial of LESIs is reasonable prior to the consideration of surgical intervention.

The 2020 North American Spine Society's evidence-based clinical guidelines for diagnosis and treatment of low back pain reported that there is insufficient evidence to make a recommendation for or against the use of caudal or interlaminar epidural steroid injections in patient with low back pain (Grade I).

As of 2024, the 2009 American Pain Society's evidenced-based clinical practice guideline for interventional therapies, surgery, and interventional rehabilitation for low back pain remains the most current guidance (Chou 2009), The guideline recommends that interdisciplinary rehabilitation be considered as a treatment option for persistent, disabling low-back pain that does not respond to usual, non-interdisciplinary therapies. For persistent, non-radicular low-back pain, the guideline did not recommend facet joint corticosteroid injection, prolotherapy, or intradiscal corticosteroid injection, and noted that there is insufficient evidence to reliably guide recommendations on use of other interventional therapies.

#### Ultrasound Guidance

In 2020, the North American Spine Society (NASS) published coverage policy recommendations for epidural steroid injections & selective spinal nerve blocks which indicates there is insufficient safety and efficacy data to support ultrasound guidance for any approach delivering ESI.

There is limited peer-reviewed literature regarding the overall health benefit of the use of ultrasonic guidance during spinal injections over the use of fluoroscopy or CT guidance. Jang et al. (2020) conducted a retrospective comparative review of chart data from 122 patients to compare the midterm effects and advantages of the US-guided SNRB (n = 44), FL-guided IL-CESI (n = 41), and TF-CESI (n = 37) for radicular pain in the lower cervical spine. Despite the noted advantage of no radiation exposure and direct real-time visualization of vessels, nerves, and other soft tissue structure, the authors acknowledged several disadvantages (e.g., technique and the image are quite operator-dependent, and US alone cannot confirm the level that the injectate has reached (dorsal

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root ganglion or epidural space).

#### **REGULATORY STATUS**

#### Not Applicable

#### CODE(S)

- Codes may not be covered under all circumstances.
- Code list may not be all inclusive (AMA and CMS code updates may occur more frequently than policy updates).
- (E/I)=Experimental/Investigational
- (NMN)=Not medically necessary/appropriate

#### **CPT Codes**

Code	Description
62320	Injection(s), of diagnostic or therapeutic substance(s) (e.g., anesthetic, antispasmodic, opioid, steroid, other solution), not including neurolytic substances, including needle or catheter placement, interlaminar epidural or subarachnoid, cervical, or thoracic; without imaging guidance
62321	with imaging guidance (e.g., CT or fluoroscopy)
62322	Injection(s), of diagnostic or therapeutic substance(s) (e.g., anesthetic, antispasmodic, opioid, steroid, other solution), not including neurolytic substances, including needle or catheter placement, interlaminar epidural or subarachnoid, lumbar, or sacral (caudal); without imaging guidance
62323	with imaging guidance (e.g., CT or fluoroscopy)
62324	Injection(s), including indwelling catheter placement, continuous infusion, or intermittent bolus, of diagnostic or therapeutic substance(s) (e.g., anesthetic, antispasmodic, opioid, steroid, other solution), not including neurolytic substances, interlaminar epidural or subarachnoid, cervical, or thoracic; without imaging guidance
62325	Injection(s), including indwelling catheter placement, continuous infusion, or intermittent bolus, of diagnostic or therapeutic substance(s) (e.g., anesthetic, antispasmodic, opioid, steroid, other solution), not including neurolytic substances, interlaminar epidural or subarachnoid, cervical, or thoracic; with imaging guidance (i.e., fluoroscopy or CT)
62326	Injection(s), including indwelling catheter placement, continuous infusion, or intermittent bolus, of diagnostic or therapeutic substance(s) (e.g., anesthetic, antispasmodic, opioid, steroid, other solution), not including neurolytic substances, interlaminar epidural or subarachnoid, lumbar, or sacral (caudal); without imaging guidance

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Code	Description
62327	Injection(s), including indwelling catheter placement, continuous infusion, or intermittent bolus, of diagnostic or therapeutic substance(s) (e.g., anesthetic, antispasmodic, opioid, steroid, other solution), not including neurolytic substances, interlaminar epidural or subarachnoid, lumbar, or sacral (caudal); with imaging guidance (i.e., fluoroscopy or CT)
64479	Injection(s), anesthetic agent and/or steroid, transforaminal epidural, with imaging guidance (fluoroscopy or CT); cervical or thoracic, single level
64480	each additional level (list separately in addition to code for primary procedure)
64483	Injection(s), anesthetic agent and/or steroid, transforaminal epidural, with imaging guidance (fluoroscopy or CT); lumbar or sacral, single level
64484	each additional level (list separately in addition to code for primary procedure)
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HCPCS Codes

Code	Description
Not Applicable	

#### **ICD10** Codes

Code	Description
Multiple Codes	

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#### **SEARCH TERMS**

Not Applicable

#### CENTERS FOR MEDICARE AND MEDICAID SERVICES (CMS)

Epidural Steroid Injections for Pain Management (LCD L39036) [accessed 2025 Feb 12]

#### **PRODUCT DISCLAIMER**

- Services are contract dependent; if a product does not cover a service, medical policy criteria do not apply.
- If a commercial product (including an Essential Plan or Child Health Plus product) covers a specific service, medical policy criteria apply to the benefit.
- If a Medicaid product covers a specific service, and there are no New York State Medicaid guidelines (eMedNY) criteria, medical policy criteria apply to the benefit.
- If a Medicare product (including Medicare HMO-Dual Special Needs Program (DSNP) product) covers a specific service, and there is no national or local Medicare coverage decision for the service, medical policy criteria apply to the benefit.

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• If a Medicare HMO-Dual Special Needs Program (DSNP) product DOES NOT cover a specific service, please refer to the Medicaid Product coverage line.

POLICY HISTORY/REVISION		
Committee Approval Dates		
03/20/25		
Date	Summary of Changes	
03/20/25	• New Policy created due to the splitting of policy content of CMP#7.01.87 into CMP#7.01.115 & CMP#7.01.116. No change to original policy criteria.	
01/01/25	Summary of changes tracking implemented.	
03/20/25	Original effective date	